



Reference _____ Date _____ Prepared by _____

1 SHIPMENT IDENTIFICATION

SHIPMENT REFERENCE NO.	CONSIGNMENT DATE
EXPORTING COUNTRY	DESTINATION COUNTRY
WASTE STREAM DESCRIPTION	
BASEL ANNEX / HS CODE	QUANTITY (MT)

2 GENERATOR VERIFICATION

GENERATOR NAME	GENERATOR REGISTRATION NO.
ADDRESS	CONTACT PERSON
PERMIT / LICENCE NO.	PERMIT EXPIRY DATE

- Generator is licensed to produce / handle this waste stream Required
- Generator registration confirmed with national CA Required
- No active enforcement actions against generator Required
- Source documentation (manifests, waste logs) obtained Required

3 CHAIN OF CUSTODY

List all handlers between generator and exporter.

INTERMEDIARY 1 — NAME

INTERMEDIARY 1 — LICENCE NO.

INTERMEDIARY 2 — NAME

INTERMEDIARY 2 — LICENCE NO.

All intermediaries licensed and documented

Required

No unlicensed transshipment points identified

Required

Chain of custody documents match physical consignment

Required

Operator Rule

An unverified source chain breaks Basel traceability. Do not accept material where any link in the chain cannot produce a valid licence or permit.

4 DECLARATION & SIGN-OFF

COMPLIANCE OFFICER

Name

Title

Company

Date

Signature

OPERATIONS MANAGER

Name

Title

Company

Date

Signature

This checklist does not replace Basel movement documents or government permits. Retain with shipment file for minimum 3 years.